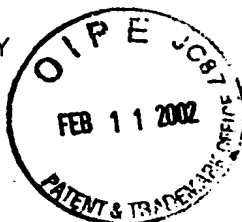


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UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 WWW.USPTO.GOV

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/976,597 | 10/12/2001 | William M. Fries | 70683 |

 22242
 FITCH EVEN TABIN AND FLANNERY
 120 SOUTH LA SALLE STREET
 SUITE 1600
 CHICAGO, IL 606033406


CONFIRMATION NO. 3277

FORMALITIES LETTER



OC000000007071591

Date Mailed: 11/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/13/2002 BABRAHA1 00000098 061135 09976597

01 FD:105 130.00 CH

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

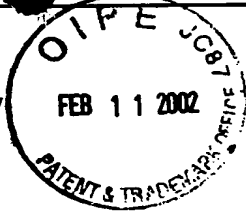
Applicant(s): Fries, et al.

Application No.: 09/976,597

Filed: 10/12/2001

Title: SYSTEM FOR DECONTAMINATION OF
FLUID PRODUCTS USING LIGHT

Attorney Docket No.: 70683



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Group Art Unit: TBD

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

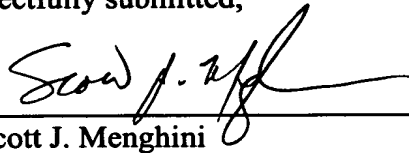
Assistant Commissioner for Patents
Washington, D.C. 20231
Attention: Box Missing Parts

Sir:

This is in response to a Notice to File Missing Parts of Application under 37 CFR 1.53(f). Enclosed is a copy of said Notice and the following documents and fees to complete the filing requirements of the above-identified application.

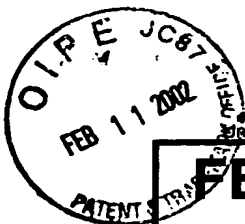
- (X) Executed Declaration and Power of Attorney. The above-identified application is the same application which the inventor executed by signing the enclosed declaration.
- () Statutory basic filing fee () Utility () Design
- () Additional claim fees of \$
- (X) Missing Parts Surcharge \$130.00
- () A Petition for Extension of Time for reply to Notice of Missing Parts is attached.
 - () one month (\$110.00)
 - () two months (\$400.00)
 - () three months (\$920.00)
 - () four months (\$1,440.00)

Respectfully submitted,

By 
Scott J. Menghini
Fitch, Even, Tabin & Flannery
Reg. No. 42,880

Date: January 11, 2002

Telephone No.: 858-552-1311

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U.S. Patent and Trademark office: U.S. DEPARTMENT OF COMMERCE
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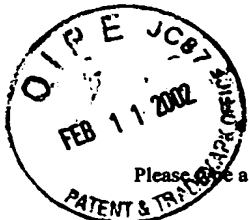
| | | | |
|---|--|--------------------------|-------------------|
| FREE TRANSMITTAL for FY 2002 <i>Patent fees are subject to annual revision.</i> | | Compl to If Known | |
| | | Application Number | 09/976,597 |
| | | Filing Date | 10/12/2001 |
| | | First Named Inventor | Fries, William M. |
| | | Examiner Name | TBD |
| | | Group Art Unit | TBD |
| TOTAL AMOUNT OF PAYMENT | | (\$) | 170.00 |
| | | Attorney Docket No. | 70683 |

| METHOD OF PAYMENT | | FEE CALCULATION (continued) | | | | | |
|--|----------|-----------------------------------|----------|--|----------|--|----------|
| 1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to: Deposit Account Number: 06-1135 Deposit Account Name: Fitch, Even, Tabin & Flannery <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27 | | 3. ADDITIONAL FEES | | | | | |
| 2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other | | | | | | | |
| FEE CALCULATION | | | | | | | |
| 1. BASIC FILING FEE | | | | | | | |
| Large Entity Small Entity | | | | | | | |
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid | | |
| 101 | 740 | 201 | 370 | Utility filing fee | | | |
| 106 | 330 | 206 | 165 | Design filing fee | | | |
| 107 | 510 | 207 | 255 | Plant filing fee | | | |
| 108 | 740 | 208 | 370 | Reissue filing fee | | | |
| 114 | 160 | 214 | 80 | Provisional filing fee | | | |
| SUBTOTAL (1) (\$) | | | | | | | |
| 2. EXTRA CLAIM FEES | | | | | | | |
| Total Claims <input type="text"/> -20**= <input type="text"/> X <input type="text"/> = <input type="text"/> | | | | | | | |
| Independent Claims <input type="text"/> -3**= <input type="text"/> X <input type="text"/> = <input type="text"/> | | | | | | | |
| Multiple Dependent <input type="text"/> | | | | | | | |
| Large Entity Small Entity | | | | | | | |
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid | | |
| 103 | 18 | 203 | 9 | Claims in excess of 20 | | | |
| 102 | 84 | 202 | 42 | Independent claims in excess of 3 | | | |
| 104 | 280 | 204 | 140 | Multiple dependent claim, if not paid | | | |
| 109 | 84 | 209 | 42 | **Reissue independent claims over original patent | | | |
| 110 | 18 | 210 | 9 | ** Reissue claims in excess of 20 and over original patent | | | |
| SUBTOTAL (2) (\$) | | | | | | | |
| **or number previously paid, if greater. For Reissues, see above | | | | | | | |
| | | 3. ADDITIONAL FEES | | | | | |
| | | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
| | | 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | 130.00 |
| | | 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| | | 139 | 130 | 139 | 130 | Non-English specification | |
| | | 147 | 2,520 | 147 | 2,520 | For filing a request for ex parte reexamination | |
| | | 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| | | 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| | | 115 | 110 | 215 | 55 | Extension for reply within first month | |
| | | 116 | 400 | 216 | 200 | Extension for reply within second month | |
| | | 117 | 920 | 217 | 460 | Extension for reply within third month | |
| | | 118 | 1,440 | 218 | 720 | Extension for reply within fourth month | |
| | | 128 | 1,960 | 228 | 980 | Extension for reply within fifth month | |
| | | 119 | 320 | 219 | 160 | Notice of Appeal | |
| | | 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | |
| | | 121 | 280 | 221 | 140 | Request for oral hearing | |
| | | 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| | | 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| | | 141 | 1,280 | 241 | 640 | Petition to revive - unintentional | |
| | | 142 | 1,280 | 242 | 640 | Utility issue fee (or reissue) | |
| | | 143 | 460 | 243 | 230 | Design issue fee | |
| | | 144 | 620 | 244 | 310 | Plant issue fee | |
| | | 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| | | 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.17(q) | |
| | | 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| | | 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | 40.00 |
| | | 146 | 740 | 246 | 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| | | 149 | 740 | 249 | 370 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| | | 179 | 740 | 279 | 370 | Request for Continued Examination (RCE) | |
| | | 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |
| | | Other fee (specify) _____ | | | | | |
| | | SUBTOTAL (3) (\$) | | | | | |
| | | *Reduced by Basic Filing Fee Paid | | | | | |
| | | SUBTOTAL (3) (\$) 170.00 | | | | | |

| | | | | | |
|-------------------|-------------------|-----------------------------------|------------------|-----------|--------------|
| SUBMITTED BY | | Complete (if applicable) | | | |
| Name (Print/Type) | Scott J. Menghini | Registration No. (Attorney/Agent) | 42,880 | Telephone | 858-552-1311 |
| Signature | | Date | January 11, 2002 | | |

WARNING: Information on this form may become public. Credit card information should not be included in this form. Provide credit card information and authorization of PTO-2038.

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| | | | |
|---|-----------------------------|-------------------------------|-------|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 09/976,597 | |
| | Filing Date | Oct 12, 2001 | |
| | First Named Inventor | Fries, William M. | |
| | Group Art Unit | TBD | |
| | Examiner Name | TBD | |
| Total Number of Pages in This Submission | 16 | Attorney Docket Number | 70683 |

| ENCLOSURES (check all that apply) | | |
|---|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input checked="" type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Executed Declaration For Utility Patent Application and Power of Attorney |
| Remarks | | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|------------------------------------|
| Individual name | Scott J. Menghini, Reg. No. 42,880 |
| Signature | |
| Date | January 11, 2002 |

| CERTIFICATE OF MAILING | | | |
|--|-------------------|------|------------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, Attention: Box | | | |
| Missing Parts, on this date: | | | January 11, 2002 |
| Typed or printed name | Scott J. Menghini | | |
| Signature | | Date | January 11, 2002 |

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